

THE BC SECURITIES COMMISSION  
Complaint Form

Tell us about a company or individual that you believe has violated the securities laws of British Columbia.

We use the information you provide in this form to determine what action, if any, the BC Securities Commission should take. For more information on filing a complaint, visit the [File a Complaint page](#) on InvestRight.org.

If you choose to remain anonymous, we cannot follow up with you. Please provide as much detail as possible in your submission.

**Tell us what happened.**

When did the events that led to your complaint take place?

From (yyyy/mm/dd): \_\_\_\_\_ To (yyyy/mm/dd): \_\_\_\_\_

Describe the events in the order they occurred:

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If you have documents, emails, or websites supporting your complaint (for example, offering documentation, account statements, or subscription form) list them below. Please provide us with copies when you send in this complaint, and keep the original documents in a safe place.

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**Tell us about the company and/or individual you are concerned about.**

Give us as much information as you can. If you need more space, feel free to include another page.

Company Name \_\_\_\_\_

Individual Name(s) \_\_\_\_\_

Relationship to Company \_\_\_\_\_  
\_\_\_\_\_

Address(es) \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Phone number(s) \_\_\_\_\_

Email address(es) \_\_\_\_\_

Website URL(s) \_\_\_\_\_

**Please provide your contact information (indicate whether phone and email are work or persona):**

Name \_\_\_\_\_  
First name Family name

Address \_\_\_\_\_

City and Province/State \_\_\_\_\_ Postal Code \_\_\_\_\_

Home Phone \_\_\_\_\_ Work Phone \_\_\_\_\_

Cell Phone \_\_\_\_\_ Email Address \_\_\_\_\_

What is the best time for us to call you? \_\_\_\_\_

# THE BC SECURITIES COMMISSION

## Complaint Form

Thank you for telling us about your complaint.

### What happens now?

If we determine that a detailed review of your complaint should be conducted, you may receive a letter with contact details of the person assigned to assess your complaint. You may contact this person to discuss any further questions, comments or concerns, or to provide additional or new information relevant to your complaint. Please understand that the contact person cannot discuss with you any details of an investigation prior to its conclusion. We take this precaution to ensure that we do not divulge any information that may jeopardize an investigation.

The BCSC collects the information on this form to determine what, if any, action it can take. The BCSC keeps confidential all complaints it receives. The information you provide will only be used in accordance with the BCSC's duties and powers, as described in the British Columbia Securities Act.

We collect, use and disclose personal information in accordance with the [Freedom of Information and Protection of Privacy Act, RSBC 1996, c. 165](#). For more on our privacy policy and use of information, view the [Legal page](#) on our website.

### More questions?

Contact BCSC Inquiries at: [Inquiries@bcsc.bc.ca](mailto:Inquiries@bcsc.bc.ca)

### Mail, fax or email this form to:

**Email:** [Inquiries@bcsc.bc.ca](mailto:Inquiries@bcsc.bc.ca)

**Mail:** BCSC Inquiries

British Columbia Securities Commission

P.O. Box 10142, Pacific Centre

Vancouver, British Columbia

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**Fax:** (604) 899-6506

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